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PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In te application of

Docket No: Q91454

Yoshiji TAKEMOTO

Appln. No.: 10/562,579

Group Art Unit: Unknown

Confirmation No.: Unknown

Examiner: Unknown

Filed: December 27, 2005

For:

ASYMMETRIC UREA COMPOUND AND PROCESS FOR PRODUCING

ASYMMETRIC COMPOUND BY ASYMMETRIC CONJUGATE ADDITION

REACTION WITH THE SAME AS CATALYST

UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

1. T. Okino et al., "Enantioselective Michael Reaction of Malonates to Nitroolefins Catalyzed by Bifunctional Organocatalysts", J. Am. Chem. Soc. 2003, Vol. 125, No. 42, pp. 12672-12673 was previously filed on December 27, 2005.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/562,579

March 22, 2006

2. U.S. Patent Application No. 2003/0229121 A1, published December 11, 2003 to Du Bois et al., (this reference corresponds to WO 2003/045937 which was previously cited to the USPTO on December 27, 2005).

- 3. M. L. Tommasino et al., "Asymmetric hydrogenation of enamides with catalysts containing chiral thiourea ligands", Tetrahedron: Asymmetry 11 (2000), pp. 4835-4841 was previously filed on December 27, 2005.
- 4. T. Okino et al., "Enantio- and Diastereoselective Michael Reaction of 1,3-Dicarbonyl Compounds to Nitroolefins Catalyzed by a Bifunctional Thiourea", J. Am. Chem. Soc. 2005, Vol. 127, No. 1, pp. 119-125.
- 5. Y. Hoashi et al., "Enantioselective Michael Addition to α,β- Unsaturated Imides Catalyzed by a Bifunctional Organocatalyst", Angew. Chem. Int. Ed., 2005, 44, pp. 4032-4035.
- 6. Y. Hoashi et al., "Bifunctional thiourea-catalyzed enantioselective double Michael reaction of γ , δ -unsaturated β -ketoester to nitroalkene: asymmetric synthesis of (-)-epibatidine", Tetrahedron Letters 45, (2004), pp. 9185-9188.
- 7. WO 05/058805 A1 published June 30, 2005 with Abstract.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

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The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE 23373 CUSTOMER NUMBER

Date: March 23, 2006

Substitute for Form 1449 A & B/PTO			Complete if Known	
		OIPE 40	Application Number	10/562,579
INFORM	ATION DISCLO	<u>svre</u> %	Confirmation Number	Unknown
STATEM	ENT BY APPLIC	CANT 2 1006		December 27, 2005
			First Named Inventor	Yoshiji TAKEMOTO
(use as many sheets as necessary). Sheet I of I			Art Unit	Unknown
		The same	Examiner Name	Unknown
Sheet	1 of	I	Attorney Docket Number	Q91454

U.S. PATENT DOCUMENTS						
Examiner Cite	Cite	Document Number		Bublication Date		
Initials*	No.1	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
/SK/		US 2003/0229121	Al	12/11/2003	Du Bois et al.	
		US				
		US				
		US				

FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.	Foreign Patent Document			Publication Date	Name of Patentee or		
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
/SK/		WO	2005/058805	Al	06/30/2005		Abstract
				<u> </u>			
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		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶	
		T. Okino et al., "Enantio- and Diastereoselective Michael Reaction of 1,3-		
/SK/	Dicarbonyl Compounds to Nitroolefins Catalyzed by a Bifunctional Thiourea",			
	J. Am. Chem. Soc. 2005, Vol. 127, No. 1, pp. 119-125.			
		Y. Hoashi et al., "Enantioselective Michael Addition to α,β- Unsaturated Imides		
/SK/		Catalyzed by a Bifunctional Organocatalyst", Angew. Chem. Int. Ed., 2005, 44,		
		pp. 4032-4035.		
		Y. Hoashi et al., "Bifunctional thiourea-catalyzed enantioselective double		
10141		Michael reaction of γ , δ -unsaturated β -ketoester to nitroalkene: asymmetric		
/SK/	synthesis of (-)-epibatidine", Tetrahedron Letters 45, (2004), pp. 9185-9188.			
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Examiner Signature	/Sudhakar Katakam/	Date Considered	09/19/2008
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.